October 7, 2019

To: **Prospective Proposers**

From: **Norman David, Contracts Administrator**

Subject: **Q&A for RFP S19160 Silicon Valley Express Lanes Program – US 101 Phase 5**

The following pages contain responses to questions submitted by prospective Proposers. Do not submit the attached "Q&A" document in your proposal.

Q1. When is the project start-date?
A1. "Appendix I - Preliminary Milestone Schedule_DRAFT 2019-08-19" can be downloaded for this information.

Q2. Is there a bid list or planholders list available?
A2. Planholders are listed on the solicitation site for this RFP.

Q3. If the proposer is able to achieve the 9% DBE goal, is it necessary to include the Good Faith Effort documentation in the proposal?
A3. As per the "Good-Faith Efforts Guidelines" on page 14 for the RFP, the proposer does not need Good Faith Effort documentation if the DBE goal is achieved.

Q4. Can you clarify if the Audit Report goes in with the technical proposal, or with the cost proposal in a separately sealed envelope?
A4. The Audit Report must be submitted with the cost proposal in the separately sealed envelope.

Q5. Environmental clearance of the 3 bridges includes one that is outside the Phase 5 limits (Coyote Creek Bridge). Will it be included in the environmental clearance with the other two bridges?
A5. Although Coyote Creek Bridge is outside the Phase 5 project limits, it will be included in the environmental clearance documents for the bridges.

Q6. Will the FHWA Cost Estimate Review include the entire US 101 corridor?
A6. Yes, the Cost Estimate Review will be completed for the entire US 101 Express Lanes, which includes Phase 5. The Project Report is shown in Appendix B and has the project limits of the US 101 Express Lanes.

Q7. If a subcontractor has a Tier 2 subcontractor, where should the tier 2 be listed?

A7. If the subcontractor wants DBE/SBE credit for that Tier 2 subcontractor, then the Tier 2 subcontractor should be included in the Tier 1 subcontractor price, since that is who the prime will subcontract with. This should be noted so we can obtain information on the Tier 2 subcontractor at a later time.

Q8. In addition to the key staff, does every individual need to be listed in the cost *proposal, or can they be listed by key staff plus position categories?*

A8. Please provide listing by Key Staff plus position categories.

Q9. VTA mentions DIB 77 in the RFP which Caltrans no longer uses on their DIB website. Would consultants still be required to complete the checklist?

A9. Design checklists do not need to be prepared if Caltrans does not require it for the project.

Q10. Per a comment made by VTA at the pre-proposal meeting, it is our understanding that VTA stated forms wouldn't be required of 2nd tier subs and that the 2nd tier subs will be listed as an ODC under the 1st tier subconsultant. Can you please confirm this statement is correct and that the following is not required from 2nd tier subs:

- Form 11: hours need not be shown for 2nd tier subs
- Form 12: 2nd tier can be listed under 1st tier as an ODC
- Estimated-Person Hours Table: 2nd tier subs need not be shown in the estimated-person hours table as part of the requirement in Section 5: Project Staffing of the Proposal Format and Content?

A10. The above statements are correct.

Q11. Form 4 requires that sub cost be listed as separate attached itemization, can this be a list of subs and their fees at the bottom of the prime form 4, or must each 1st and 2nd tier subs submit a separate form 4?

A11. Form 4 will need to be submitted for each 1st tier sub. 2nd tier subs need not submit Form 4.