



Professional Services - Task Order Contract

The Contractor hereby agrees to perform the work authorized herein in accordance with all the terms, conditions, and at the labor rates agreed to in the Contract referenced below. The Contractor shall furnish the necessary professional and technical personnel and materials required to complete this work. Except as expressly provided herein, this Task Order cannot be altered, modified or amended except through a fully executed written Task Order Amendment.

Contract No. S16083/33 Task Order No. 33

Contractor: RSM SAP Requisition No. _____
(Attach Purchase Requisition Form)

Description: TO#33 - IT Risk Assessment

Term: Effective 3/5/2019 and continue in effect through 12/31/2019
Date

Compensation: (check one) T&M CPFF FFP

Task Order value: \$62,880.00

(To be completed by Contracts Administrator)

Contract value available to authorize this Task Order: \$949,255.

Funding Information: (Check funding sources)

Federal Funds: FTA/Transit or FHWA/Highways State Funds
 CalTrans Local Assistance Program Measure A Measure B
 Other: Local Funds (VTA Cost Center 11500)

Scope of Services: (check one)

See details below of Scope
 See attached Scope of Services

Key Personnel: List additional Personnel authorized to provide services under this Task Order. (check one)

See details below of Personnel (Name/Classification)
 See attached List of Personnel (Name/Classification) *

* Rates are per Master Contract.

Subcontractors: List additional subcontractors authorized to provide services under this Task Order. (check one)

See details below of subcontractors (Indicate SBE/DBE status) *
 See attached list of subcontractors (Indicate SBE/DBE status)

* (None)



Professional Services - Task Order Contract (Cont.)

Approvals: Project Manager is required to obtain OBDP's signature prior to submitting to Contracts department.

OBDP: (SBE)/DBE Goal NSG % 3/8/19
(Specifically established for this Task Order) OBDP Manager Date

[Signature] 3/8/19
Project Manager Date Division Phone No.

[Signature] 3/8/19
Division Chief, Director or Deputy Dir Date Capital Projects Group Date

Carolyn Vargas 3/15/19
Reviewed by Contracts Administrator Date

Santa Clara Valley Transportation Authority

DocuSigned by:
By: Mary Talentino 3/15/2019
44FD323C974D4CD... Date

Name (print): Mary Talentino

Title: Contracts Manager

Contractor

DocuSigned by:
By: Corey Saunders 3/15/2019
884CC17960E44D5... Date

Name (print): Corey Saunders

Title: Partner

VTA Auditor General
 Objective, Budget and Scope for: **IT Risk Assessment**

Objective: To perform an independent review of the comprehensive IT environment, including IT operations and governance. The results will be utilized to evaluate the need for any additional IT audits within the Auditor General's plan.

Tasks	Estimated Hours and Budget, by level							Total
	Partner	Director	Manager	Sub-contractor	Super-visor	Senior Associate	Associate	
Coordination of preliminary risk assessment activities	1	2	9			8		20
Attending meetings with members of VTA staff			12			50	50	112
Analyzing resulting information and vetting through key members of VTA executive management	2		20			36	40	98
Assessing IT operations and governance environment to include: Evaluation of operations environment; Evaluation of governance environment; Identification of high risk areas for audit			22			40	42	104
Drafting report for submission to the G&A Committee	1		16			16		33
Total Estimated Hours	4	2	79	0	0	150	132	367
Contract Rate for Classification Level, per Exhibit D	\$350	\$325	\$230	\$200	\$190	\$170	\$130	
Time and Materials Estimate	\$1,400	\$650	\$18,170	\$0	\$0	\$25,500	\$17,160	\$62,880
G&A Approved Amount								\$63,000

Notes and Assumptions:

1. Client produces requested documents on a timely basis and is available for meetings, as scheduled.
2. Project is not delayed or deferred (would change AG staffing).
3. No significant number of exceptions or gaps are uncovered.