



### Professional Services - Contract Task Order

The Contractor hereby agrees to perform the work detailed below in accordance with all the terms and conditions of the Contract referenced below. The Contractor shall furnish the necessary facilities, professional, technical and supporting personnel required by this Task Order as detailed below.

Contract No. S16083 Task Order No. 20

Contractor: RSM US LLP SAP Requisition No. 2000202696  
(Attach Purchase Requisition Form)

Description: A/P Master File Data Assessment

Term: Effective 10/01/17 and continue in effect through 05/31/18  
Date (Contract term cannot exceed 7 years without Board Approval)

Compensation: (check one)  T&M  CPMF  FFP

Task Order Value: FFP \$ 18,000.00  
Travel \_\_\_\_\_  
TOTAL: \$ 18,000.00

(To be completed by Contracts Administrator)  
Contract Value available to authorize this Task Order: \$ 1,543,506.

Funding Information: (Check funding sources)  
 Federal Funds:  FTA/Transit or:  FHWA/Highways  State Funds  
 Caltrans Local Assistance Program  Measure A  MBTIP Funds  
 Other: VTA Transit Enterprise local sales tax revenues

COMPENSATION: For the complete and satisfactory performance of services under this Task Order, Contractor shall be paid in accordance with the compensation provisions in the Master Contract referenced above.

Scope of Services: (check one)  
 See details below of Scope  
 See attached Scope of Services

Key Personnel: List additional Personnel authorized to provide services under this Task Order. (check one)  
 See details below of Personnel (Name/Classification)  
 See attached List of Personnel (Name/Classification)

- Bill Eggert, Director and VTA Auditor General
- Lily Rogers, Risk Advisory Services Manager
- Kevin Spaeth, Risk Advisory Services Sr. Associate
- Other VTA management-approved subject matter experts as required


**SANTA CLARA**  
**Valley Transportation Authority**  
**Professional Services - Contract Task Order**

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**Subcontractors:** List additional Subcontractors authorized to provide services under this Task Order. (check one)

- See details below of Subcontractors (Indicate SBE/DBE status)
- See attached List of Subcontractors (Indicate SBE/DBE status)

Pat Hagan, Consultant Subject Matter Expert

**Approvals:** Group/Project Manager is required to obtain OS&DB's signature prior to submitting to Contracts.

**OS&DB:** SBE/DBE Goal \_\_\_\_\_ NSG % \_\_\_\_\_  
(Specifically established for this Task Order)

[Signature] \_\_\_\_\_ 12/20/17  
OS&DB Manager Date

Task Manager \_\_\_\_\_ Date \_\_\_\_\_

Stephen Flynn \_\_\_\_\_ DEC 20, 2017  
Group/Project Manager Date

Project Director or Division Chief \_\_\_\_\_ Date \_\_\_\_\_  
(Required for all SVRT Agreements)

Capital Projects Group \_\_\_\_\_ Date \_\_\_\_\_

Carolyn Vargas \_\_\_\_\_ 1/10/18  
Reviewed by Contracts Administrator Date

**Santa Clara Valley Transportation Authority**  
By: Ephraim Cadaing \_\_\_\_\_ 1/12/2018  
03F04ADE5BE7430... Date

**Contractor** DocuSigned by:  
By: Bill Eggert \_\_\_\_\_ 1/12/2018  
59414A38617942F... Date

Name (print): Ephraim Cadaing  
Title: Senior Contracts Administrator

Name (print): Bill Eggert  
Title: Director

## Scope of Services

The scope could include, among other considerations:

- **Vendor Demographic Anomalies**
  - Vendor Address is not mailable or deliverable (per U.S. Post Office records)
  - Duplicate business addresses
  - Vacant address
- **Procurement Anomalies**
  - Multiple or recurring payments below sole-source threshold
  - Payments to vendors related by common ownership or as affiliates or subsidiaries
  - Payments to prime vendors who are also subcontractor vendors on separate contracts
- **Unexpected Relationships**
  - Vendor officer or director name(s) matches employee name
  - Vendor address or phone number matches employee's address or phone number
  - Multiple vendors with matching Tax ID or Federal Employer Identification Number (FEIN)
  - Vendor FEIN matches employee Social Security Number
- **Legal Issues**
  - Federal or State litigation
  - Liens and judgments
  - Bankruptcy filings
  - Uniform Commercial Code filings
- **Regulatory Filings / Media**
  - Professional business licenses not in good standing
  - Regulatory or Security & Exchange Commission actions
  - OSHA actions
  - Adverse media coverage
  - Dun & Bradstreet credit ratings