



## Professional Services - Contract Task Order

The Contractor hereby agrees to perform the work detailed below in accordance with all the terms and conditions of the Contract referenced below. The Contractor shall furnish the necessary facilities, professional, technical and supporting personnel required by this Task Order as detailed below.

Contract No. S16083

Task Order No. 23

Contractor: RSM US LLP

SAP Requisition No. 2000302698  
(Attach Purchase Requisition Form)

Description: Risk Assessment Refresh - FY18

Term: Effective 09/01/17 and continue in effect through 02/28/18  
Date (Contract term cannot exceed 7 years without Board Approval)

Compensation: (check one)  T&M  CFFF  FFP

Task Order Value: FFP \$ 12,500.00  
Travel \_\_\_\_\_  
TOTAL: \$ 12,500.00

(To be completed by Contracts Administrator)

Contract Value available to authorize this Task Order: \$1,420,500.

Funding Information: (Check funding sources)

Federal Funds:  FTA/Transit or  FHWA/Highways  State Funds  
 CalTrans Local Assistance Program  Measure A  MBTIP Funds  
 Other: VTA Transit Enterprise local sales tax revenues

COMPENSATION: For the complete and satisfactory performance of services under this Task Order, Contractor shall be paid in accordance with the compensation provisions in the Master Contract referenced above.

Scope of Services: (check one)

See details below of Scope  
 See attached Scope of Services

Perform high-level risk assessment of significant current or future potential financial, business or reputation risks to VTA. Risks will be derived from a combination of interviews with key management, working knowledge of the organization, input solicited from the GM/CEO and senior staff, and knowledge of key industry trends and best practices. Auditable risks will be identified, prioritized and considered for potential projects in the recommend work plan for the upcoming one or two fiscal years.

Key Personnel: List additional Personnel authorized to provide services under this Task Order. (check one)

See details below of Personnel (Name/Classification)  
 See attached List of Personnel (Name/Classification)

Bill Eggert, Director and VTA Auditor General  
Corey Saunders, Risk Advisory Services Partner  
Lily Rogers, Risk Advisory Services Manager  
Kevin Spaeth, Risk Advisory Services Sr. Associate  
Other subject matter experts as required



**SANTA CLARA Valley Transportation Authority**  
**Professional Services - Contract Task Order**

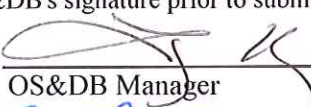
**Subcontractors:** List additional Subcontractors authorized to provide services under this Task Order. (check one)

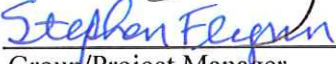
- See details below of Subcontractors (Indicate SBE/DBE status)
- See attached List of Subcontractors (Indicate SBE/DBE status)

Pat Hagan, Consultant Subject Matter Expert

**Approvals:** Group/Project Manager is required to obtain OS&DB's signature prior to submitting to Contracts.

**OS&DB:** SBE/DBE Goal \_\_\_\_\_ NSG \_\_\_\_\_ %  
(Specifically established for this Task Order)

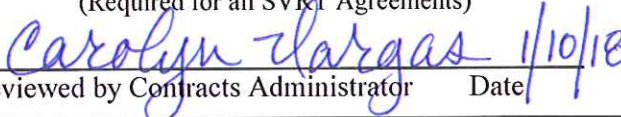
 1/4/18  
OS&DB Manager Date

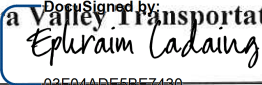
 DEC 23, 2017  
Group/Project Manager Date

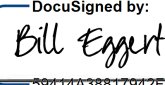
Task Manager \_\_\_\_\_ Date \_\_\_\_\_

Project Director or Division Chief \_\_\_\_\_ Date \_\_\_\_\_  
(Required for all SVRT Agreements)

Capital Projects Group \_\_\_\_\_ Date \_\_\_\_\_

 1/10/18  
Reviewed by Contracts Administrator Date

**Santa Clara Valley Transportation Authority**  
By:  1/10/2018  
03F04ADE5BE7430... \_\_\_\_\_ Date

**Contractor**  
By:  1/10/2018  
39414A38817942F... \_\_\_\_\_ Date

Name (print): Ephraim Cadaing  
Title: Senior Contracts Administrator

Name (print): Bill Eggert  
Title: Director