



### Professional Services - Task Order Contract

The Contractor hereby agrees to perform the work authorized herein in accordance with all the terms, conditions, and at the labor rates agreed to in the Contract referenced below. The Contractor shall furnish the necessary professional and technical personnel and materials required to complete this work. Except as expressly provided herein, this Task Order cannot be altered, modified or amended except through a fully executed written Task Order Amendment.

Contract No. S16083/29

Task Order No. 29

Contractor: RSM

SAP Requisition No. 2000022646  
(Attach Purchase Requisition Form)

Description: TO#29 - Risk Assessment & Two Year Audit Plan - FY2019

Term: Effective 1/2/2019 and continue in effect through 6/30/2019  
Date

Compensation: (check one)  T&M  CPFF  FFP

Task Order value: \$19,460.00

(To be completed by Contracts Administrator)

Contract value available to authorize this Task Order: \$1,053,625.

Funding Information: (Check funding sources)

Federal Funds:  FTA/Transit or  FHWA/Highways  State Funds

CalTrans Local Assistance Program  Measure A  Measure B

Other: Local Funds (VTA Cost Center 11500)

Scope of Services: (check one)

See details below of Scope

See attached Scope of Services

Key Personnel: List additional Personnel authorized to provide services under this Task Order. (check one)

See details below of Personnel (Name/Classification)

See attached List of Personnel (Name/Classification) \*

\* Rates are per Master Contract.



### Professional Services - Task Order Contract

**Subcontractors:** List additional subcontractors authorized to provide services under this Task Order. (check one)

See details below of subcontractors (Indicate SBE/DBE status)

See attached list of subcontractors (Indicate SBE/DBE status)

(None)

**Approvals:** Project Manager is required to obtain OBDP's signature prior to submitting to Contracts department.

OBDP: (SBE/DBE Goal NSB %  
(Specifically established for this Task Order)

[Signature] 12/20/18  
OBDP Manager Date

[Signature] 12/21/18  
Project Manager Date

CHIEF OF STAFF 408-321-7526  
Division Phone No.

[Signature] 12/21/18  
Division Chief, Director or Deputy Dir Date

Capital Projects Group Date

Carolyn Vargas 1/3/19  
Reviewed by Contracts Administrator Date

#### Santa Clara Valley Transportation Authority

DocuSigned by:  
By: Carolyn Vargas 1/3/2019  
9BA9C09CBBD245C... Date

Name (print): carolyn vargas

Title: Contact Administrator II

#### Contractor

DocuSigned by:  
By: Corey Saunders 1/3/2019  
884CC17960E44D5... Date

Name (print): Corey Saunders

Title: Partner

VTA Auditor General  
 Objective, Budget and Scope for: Risk Assessment and Two-Year Audit Plan - FY2019

Objective: To perform an holistic assessment of the financial, operational, strategic, reputational, compliance-related, and other risks impacting VTA's operating environment in order to identify the appropriate universe of risk. Additionally, to compile for Board approval the go-forward two-year AG Audit Plan.

Tasks	Estimated Hours and Budget, by level							Total
	Partner	Director	Manager	Sub-contractor	Supervisor	Senior Associate	Associate	
Coordination of preliminary risk assessment activities			8					8
Attending meetings with members of VTA staff	12	12	12					36
Analyzing resulting information and vetting through key members of VTA executive management	2	4	6					
Drafting report for submission to the G&A Committee	2	4	6					12
<b>Total Estimated Hours</b>	<b>16</b>	<b>20</b>	<b>32</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>68</b>
<b>Contract Rate for Classification Level, per Exhibit D</b>	<b>\$350</b>	<b>\$325</b>	<b>\$230</b>	<b>\$200</b>	<b>\$190</b>	<b>\$170</b>	<b>\$130</b>	
<b>Time and Materials Estimate</b>	<b>\$5,600</b>	<b>\$6,500</b>	<b>\$7,360</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$19,460</b>
<b>Approved Amount</b>								<b>\$19,500</b>

Notes and Assumptions:

Subcontractor time needed to allow for subject-matter expertise during certain interviews, and then to analyze and review results from an SME perspective and help correlate risks to appropriate transit-specific projects. Based upon actual interviews scheduled, we may opt to limit his participation even further.