

VTA Auditor General
Board-Approved Projects: FY17 through FY20

Task Order # (P = Planned)	Project Name	Internal Audit Work Plan	Approved Maximum Amount	Type	Status
1	Auditor General Services Support - FY17	FY17	\$ 65,000	Recurring	Completed
2	Ethics Hotline Support Services - FY17	FY17	\$ 14,000	Recurring	Completed
3	Auditor General Travel Expense - FY17	FY17	\$ 27,000	Recurring	Completed
4	Express Lanes Funding & Operations Assessment	FY17	\$ 47,000	Discrete	Completed
5	IT Development and Project Management	FY17	\$ 45,000	Discrete	Completed
6	Verification of Outreach Assets	FY17	\$ 47,000	Discrete	Completed
7	Inventory Management and Costing Assessment	FY17	\$ 65,000	Discrete	Completed
8	Risk Assessment Refresh- FY17	FY17	\$ 10,000	Discrete	Completed
9	Follow-up on Management Action Plans - FY17	FY17	\$ 13,000	Recurring	Completed
10	Interagency Agreements Risk Assessment	FY17	\$ 69,000	Discrete	Completed
11	BART Silicon Valley C700 Contract Compliance Internal Audit	FY17	\$ 61,000	Discrete	Completed
12	Investment Program Controls Internal Audit - FY17	FY17	\$ 10,000	Discrete	Completed
13	Records Management Program Assessment	FY17	\$ 44,000	Discrete	Completed
14	Auditor General Services Support - FY18	FY18	\$ 65,000	Ongoing	Completed
15	Ethics Hotline Support Services - FY18	FY18	\$ 13,500	Ongoing	Completed
16	Auditor General Travel Expense - FY18	FY18	\$ 27,000	Ongoing	Completed
17	RTI CAD/AVL Project Review	FY18	\$ 44,000	Discrete	Completed
18	Follow-up on Management Action Plans - FY18	FY18	\$ 12,000	Discrete	Completed
19	Special Events & Stadiums Assessment	FY18	\$ 55,000	Discrete	Completed
20	A/P Master File Data Assessment	FY18	\$ 18,000	Discrete	Completed
21	Joint Development Program Assessment	FY18	\$ 55,000	Discrete	Completed
22	Paratransit Operations Transition Assessment	FY18	\$ 50,000	Discrete	Completed
23	Risk Assessment Refresh - FY18	FY18	\$ 12,500	Discrete	Completed
24	BART Silicon Valley Project - Phase I Invoicing Audit	FY18	\$ 75,000	Discrete	Completed

Task Order # (P = Planned)	Project Name	Internal Audit Work Plan	Approved Maximum Amount	Type	Status
25	Ethics Hotline Monitoring - FY19	FY19	\$ 13,280	Discrete	Completed
26	Business Continuity Planning	FY19	\$ 54,960	Discrete	Initiated
27	BART Silicon Valley Phase I Invoicing Audit	FY19	\$ 69,345	Discrete	Completed
28	Follow-Up on Management Action Plans - FY19	FY19	\$ 12,000	Discrete	Completed
29	Risk Assessment (FY19) & Two Year Internal Audit Work Plan	FY19	\$ 19,460	Discrete	Completed
30	Travel & Related Expenses - FY19	FY19	\$ 27,000	Discrete	Completed
31	Fixed Assets Program Review	FY19	\$ 57,910	Discrete	Completed
32	Workforce Management Audit	FY19	\$ 65,000	Discrete	Initiated
33	Comprehensive IT Risk Assessment	FY19	\$ 62,880	Discrete	Completed
34	AG Travel & Related Expenses - FY20	FY20	\$ 25,000	Recurring	Initiated
35	AG Services Support- FY20	FY20	\$ 64,990	Recurring	Initiated
36	Ethics Hotline Monitoring - FY20	FY20	\$ 13,415	Recurring	Initiated
37	RTI Project-CAD/AVL Replacement - FY20	FY20	\$ 20,000	Discrete	Completed
P38	Trapeze Software Suite Assessment	FY20	\$ 99,500	Discrete	Planned
P39	Cyber Security	FY20	\$ 96,400	Discrete	Planned
P40	Follow-Up on Management Action Plans - FY20	FY20	\$ 20,000	Discrete	Planned
P41	2016 Measure B Sales Tax Funding	FY20	\$ 35,000	Discrete	Planned
P42	MTC Allocation/Bay Area Transit Relationships	FY20	\$ 68,000	Discrete	Planned
P43	Annual Risk Refresh - FY20	FY20	\$ 18,000	Discrete	Planned
P44	Auditor General Services Support - FY21	FY21	\$ 65,000	Recurring	Planned
P45	Ethics Hotline Support Services - FY21	FY21	\$ 13,500	Recurring	Planned
P46	Auditor General Travel Expense - FY21	FY21	\$ 25,000	Recurring	Planned
P47	Follow-Up on Management Action Plans - FY21	FY21	\$ 20,000	Discrete	Planned
P48	Annual Risk Assessment - FY21	FY21	\$ 24,000	Discrete	Planned
	Diridon Station	FY21	\$ 60,000	Discrete	Planned
P50	Capital Budget and Project Controls	FY21	\$ 53,000	Discrete	Planned
P51	BART SV Phase II Contract Administration	FY21	\$ 88,000	Discrete	Planned
P52	SAP System Segregation of Duties	FY21	\$ 90,000	Discrete	Planned